Please type a ple



PTO/SB/21 (08-00)
e type a plansing (+) inside this box

H

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 1 E C 3 945 WIEK 1600/2900 **Application Number** TRANSMITTAL **Filing Date** September 24, 1997 **FORM** First Named Inventor Hirokazu SUGIHARA **Group Art Unit** 1645 (to be used for all correspondence after initial filing) **Examiner Name** P. Baskar Total Number Of Pages In This Submission 356972020100 Attorney Docket No. **ENCLOSURES** (check all that apply) Fee Transmittal Form (w/copy) - 2 Assignment Papers After Allowance Communication to X (for an Application) .pages Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) - 2 pages After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please identify Extension of Time Request - 1 page Change of Correspondence Address below): Return Receipt Postcard Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster, LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 E. Thomas Wheelock, Reg. No. 28,825 Individual Name Signature Date May 1, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 1, 2001

Kerry Keehan

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Resistion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

Signature

_		·							
	Complete if Known								
	Application Number	08/913,811	2						
	Filing Date	September 24, 1997	Œ	MAY	\ddot{O}				
	First Named Inventor	Hirokazu SUGIHARA	AE .	0	m				
	Examiner Name	P. Baskar	h 16	8 2	7				
	Group Art Unit	1645	00/29	001	Ш				
	Attorney Docket No.	356972020400	9						

Date

May 1, 2001

TOTAL AMOUNT OF PAYMENT	(\$) 700		Attorney	Docket No.	356972020100		
METHOD OF PAYMENT	Π		F	EE CALC	JLATION (continued)		
1. The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES						
Deposit Account Number Number	Large Fee Code	Entity Fee (\$)	Smal Fee Code	Fee	Fee Description	Fee Paid	
Deposit Account Morrison & Foerster LLP	l				•		
Name	105	130	205	65	Surcharge - late filing fee or oath Surcharge - late provisional filing fee		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	or cover sheet		
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification		
2. Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination		
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month		
	116	390	216	195	Extension for reply within second month	390	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) Fee	117	890	217	445	Extension for reply within third month		
Paid	118	1,390	218	695	Extension for reply within fourth month		
101 710 201 355 Utility filing fee	128	1,890	228	945	Extension for reply within fifth month		
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal	310	
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal		
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing	\vdash	
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
	140	110	240	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 0	141	1,240	241	620	Petition to revive - unintentional		
2. EXTRA CLAIM FEES	142	1,240	242	620	Utility issue fee (or reissue)		
Extra Fee from Fee Paid Claims below	143	440	243	220	Design issue fee		
Total Claims 16 - 20** = 0 x = \$0	144	600	244	300	Plant issue fee		
Independent 3 - 3** = 0 x = \$0	122	130	122	130	Petitions of the Commissioner		
Multiple Dependent = \$0	1 23	50	123	50	Petitions related to provisional		
	126	180	126	180	applications Submission of Information		
	120	100	120	160	Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per properties (times number of properties)		
103 18 203 9 Claims In excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
102 80 202 40 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
104 270 204 135 Multiple dependent claims, if not paid	179	710	279	355	Request for Continued Examination (RCE)		
109 80 209 40 **Reissue Independent claims over original patent	169	900	169	900	Request for expedited examination of a design application		
110 18 210 9 **Reissue ctaims in excess of 20 and over original patent					T acorgi, approcesori		
<u></u>	Other fee (specify)						
SUBTOTAL (2) (\$) 0							
** or number previously paid, if greater; For reissues, see above.	*Reduced by Basic Filing Fee Paid			Paid	SUBTOTAL (3) (\$) 700		
SUBMITTED BY	Complete (if applicable)						
Name (Print/Type) E ThemsetThe leak	Regis	tration N	O.	20 025	Tolophono (650 942	£720	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.